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 later.

4 Abstract

The seed dispersal cycle forms the base of vegetation establishment and population
 dynamics. Evidence shows varied results for the role of frugivorous bats, where
 ingestion and gut passage increase seed germination for some plant species, but
 not for others.

9 2. Using meta-analysis techniques with a novel database spanning 31 years of study,
we answered the following questions: 1) Does seed passage through bat digestive
tracts increase seed germination compared to seed pulp removal by humans? 2)
Does seed ingestion by bats accelerate seeds' time until germination compared to
seed pulp removal by humans? 3) Is there an effect of germination conditions, bat
species and plant species on seed germination? and 4) Is there an effect of fruit bat
dietary preferences on seed germination?

In general, seed passage through bat digestive tracts neither significantly increased nor accelerated seed germination. However, seed germination varied mainly with plant species and bat species, as less than 25% of plant species responded to bat gut passage in positive or negatively way. On the other hand, plant species that were preferred by a species of bat showed higher germination success than non-preferred plant species, in line with the core plant taxa hypothesis.

- 4. These results suggest that: 1) the principal role of frugivorous bats in seed dispersal
 is to transport of seeds away from parent plants, 2) bat fruit handling did not reduce
 seed germination, and 3) seed germination of fruits consumed by bats is
 idiosyncratic to the bat and plant species in question.
- 26
- 27 **Keywords:** diet preferences, core plant taxa, frugivory.

29 Running head: Does seed ingestion by bats increase germination?

30

31 Word count: 5481 words.

32

33 Introduction

Vertebrates offer three principal services to plants, i.e. the transport of seeds away from 34 parent plants, the enhancement of germination after seeds passed through their guts and 35 finally the increase of seed germination velocity after consumption (Samuels & Levey 36 2005, Traveset & Verdú 2002, Pires et al. 2018). Seed germination is one of the most 37 important stages of the seed dispersal cycle, because it is related to the first stage of plant 38 39 establishment (Wang & Smith 2002). However, not all seed dispersers assist seed germination equally well, as the quality of seed dispersal is dually influenced by the seed 40 and fruit handling by vertebrates and the ecophysiological traits of seeds (Schupp et al. 41 2010). 42

Bats are one of the principal vertebrate taxa that provide seed dispersal (Traveset 43 1998, Traveset & Verdú 2002). Many frugivorous bats are dietary specialists and have 44 evolved to obtain their primary nutrients from fruits and their handling facilitates seed 45 germination (Fleming 1986, Dumont 1999, Rojas et al. 2011). The first quantitative review 46 about the effect of seed passage through vertebrate guts found that bat gut passage 47 enhances seed germination, compared to control seeds, suggesting that bats have a 48 physical and chemical effect on seed germination success, due the alteration of the seed 49 50 coat or endocarp (Traveset & Verdú 2002). However, there are plenty of factors that could affect the seed germination of fruits consumed by frugivorous bats. For example, the 51 germination conditions which vary among experimental setups, or the bat dietary 52

preferences, which are related to fruit selection and handling, could both account for
 variation in seed germination (Dumont 1999).

In addition, since the Traveset & Verdú (2002) review, more than 18 studies about seed germination of fruits consumed by bats have been published, with negative and positive effects of fruit bat seed consumption on germination. As the quantity of data available has nearly doubled in the last 15 years, it is worth making a new quantitative review about the effect of frugivorous bats on seed germination and including some new questions.

Thus we asked the following additional questions: Is there an effect of seed origin 61 and germination conditions used in the experiments? In seed germination experiments, the 62 authors had two ways to control the origin of seeds: homogenized or unhomogenized. 63 When the authors used the same fruits for the treatment and control, the seeds were 64 homogenized. If the authors used different fruits for the treatment and control, the seeds 65 were unhomogenized. This experimental design could have an effect on seed germination 66 success, because the viability of seeds could change between plant populations and 67 individuals (Baloch et al. 2001, Cruz et al. 2003). On the other hand, researchers used 68 different germination conditions, such as placing seeds in petri dishes, cylindrical field 69 exclusions, or petri dishes with soil, etc. These methods could result in different ambient 70 conditions and have an effect on germination success (Traveset & Verdu 2002). 71 Therefore, these factors need to be evaluated. 72

Is there an effect of bat and plant species on germination success? Previous studies of the effect of bat fruit consumption on seed germination success have found that seed germination success varies with bat and plant species, partly because seed plant species consumed by bats had different abilities to germinate and grow independently of the seed disperser (i.e. Naranjo et al. 2003, Rojas-Martínez et al. 2015). On the other hand, frugivorous bats show different fruit handling and digestion times, especially in the

Neotropics, and thus may not all have equal effects on seed germination (see Laska 1990,
Dumont 1999). Therefore, we would expect significant effects of bat and plant species on
seed germination.

82 Was seed germination of plant species that are preferred by bats higher than seed germination of non-preferred fruits? The evolution of bat diet preferences appeared in the 83 Miocene, more than 20 millions of years ago, and could result in higher germination 84 success of plants preferred by bats (Sánchez & Giannini 2018). These preferences involve 85 associated genera of bats and plants: Artibeus species feeds primarily on fruits of Ficus 86 and Cecropia species, Carollia species feeds primarily on Piper species, and Sturnira 87 species feeds primarily on Solanum species (Fleming 1986, Sánchez & Giannini 2018). 88 Therefore, we would expect increased germination success for preferred plant species 89 90 preferences (core-plant taxa hypothesis).

For all aforementioned questions, germination enhancement is defined as 91 observing a higher proportion of seeds that passed through a vertebrate gut compared to 92 control seeds. As we used meta-analysis techniques to answer our questions, we had to 93 employ a definition of control seeds that accommodated the numerous conditions 94 encountered in the literature. Controls could be seeds that germinated in the intact fruit 95 (which occurs when fruits fall to the ground without fruit removal by the disperser) or 96 control seeds may be manually extracted from fruit pulp, depending on experimental 97 setup. Control seeds that have been manually extracted (depulped by humans) allow 98 researchers to evaluate the physical/chemical consequence of the vertebrate gut on 99 germination via alteration of the seed coat or endocarp, but fail to isolate the effect of pulp 100 101 removal. Control seeds that remain in intact fruits allow researchers to consider the fruit removal and handling effect provided by the disperser (Samuels & Levey 2005). However, 102 103 studies employ natural control seeds not presented their data in way that allow us used in the meta-analysis, so when we compared the effect of bat gut's versus control, we used 104

human depulped seeds as control. One assumption we must make is that all researchers
 in our meta-analysis database depulped seeds in a similar manner. While this is certainly
 questionable, the minutiae of seed handling protocols are not generally shared in sufficient
 detail to feature in a meta-analysis as moderator variables.

Finally we asked, Does seed consumption by bats increase the speed of 109 germination? By depulping seeds in their guts, bats may influence the speed of 110 germination, due the chemical action of the gut on germination inhibitors and osmotic 111 conditions as lipids, glycoalkaloids, etc. (Samuel & Levey 2005). Accordingly, we predict 112 that germination success of ingested seeds by bats should have similar germination 113 success compared to control seeds depulped by humans. We based this prediction on 1) 114 previous results that reported an enhancement of seed germination by seeds consumed 115 116 by bats using depulped seeds as a controls (Traveset & Verdú 2002), 2) there are few bat species reported that act as seed predators (Wagner et al. 2015) and 3) the observation 117 that bats handle fruits benignly, exhibit fast transit times and clean seeds during fruit pulp 118 ingestion (Bonaccorso & Gush 1987, Laska 1990, Dumont 1999, Hernández-Montero et 119 al. 2011). Therefore germination velocity should be similar between seeds depulped by 120 humans and bats. 121

Seed germination rate (velocity) can be measured in two forms: 1) the day of the 122 experiment when the first seed germinated and 2) the total number of days required until 123 all the seeds germinated. For our meta-analysis approach, we decided to use the day of 124 first germination for our definition of the germination velocity. This is because there is 125 considerable variation in the duration of germination experiments in the literature (13-210 126 127 days), and it is difficult to ascertain whether or not each experiment monitored seed germination until final completion. Finally the seed germination velocity was evaluated 128 separating plants by their growth life form, because different life-forms have different 129 frequencies of seed dormancy (Baskin & Baskin 1998). 130

132 Methods

133 Literature search

134 We conducted an extensive review of the literature available through Google Scholar and Web of Science. The literature obtained was supplemented with studies cited in the 135 reference lists of the articles surveyed (secondary search). The keywords used were 136 "bats", "murciélagos", "seed germination", "germinación de semillas", and "gut passage". 137 We did not include the words in Portuguese, because the majority of the studies published 138 in Portuguese regularly include a title, abstract and keywords in English. We restricted 139 these terms to appear only in the title of the article when we used Google Scholar. While 140 when we used Web of Science "bats" only appeared in the title and "seed germination" 141 and "gut passage" in the topic of the paper. We did not limit the search by year of 142 publication. We selected studies that contain detailed data about seed germination 143 experiments, such as the number of seeds used in the experiments, number of germinated 144 seeds, bat species that consumed the seeds and the plants' species. When studies did not 145 report the germination data in a table, they were extracted from the figures using the 146 software DATA THIEF III version 1.7 (Tummers 2006). 147

148

149 Database

We obtained a total of 33 studies that fulfilled our study selection criteria (Appendix S1). The 33 studies selected summarized 106 experiments, conducted in 13 countries, from 23 bat species of 14 genera from the families Phyllostomidae and Pteropodidae, and 61 plant species of 16 genera and 12 families (Appendix S1). We included 10 unpublished experiments of our own.

155

156 Meta-analysis

Page 7 of 21

Unreviewed manuscript

We did six analyses, corresponding to our six hypotheses and predictions. In the first, we 157 examined the effect of bat seed ingestion on germination success, without evaluating 158 moderators variables such as bat or plant species. Therefore, we calculated the log odds 159 160 ratio (logOR) of the control (human depulped seeds) and treatment (seeds consumed by bats) and their associated variance. Because more than one seed germination experiment 161 came from the same author, these data are not independent observations in the analysis 162 (Nakagawa et al. 2017). Therefore, we fitted a random effect model, using the "author" of 163 the studies as a random effect and no fixed effect. In addition, we used the Cochran's Q 164 index as a measure of heterogeneity of each analysis. Heterogeneity in meta-analyses is 165 an important characteristic, because it allows us to evaluate if the variation in the effect 166 sizes collected is explained with the population variation or by chance (Harrison 2011, 167 Nakagawa et al. 2017). In addition, if the heterogeneity is significant, this means that 168 variation in effect sizes could be explained by moderator variables (i.e. species, 169 experimental design, etc.). In order to examine the publication bias in our data set, we 170 performed a regression test (Egger et al. 1997). The regression test evaluated if we have 171 balanced effect sizes. If effect sizes are balanced, we should find a similar number of 172 positive and negative effect sizes of germination success among treatments, and the test 173 will be not significant. 174

In the following analysis, we investigated the effects of germination conditions, bat 175 species, plant species, and seed origin on the logOR of germination success. The 176 germination conditions had five levels (cylindrical exclusions, germination box, petri 177 dishes, soil in petri dishes and sterilized sand in containers) and bat species had 23 levels. 178 179 Plant species had 61 levels, and seed origin had two levels (homogenized and unhomogenized). When the authors use the same fruits for the treatment and control, we 180 categorized the experiment as homogenized. If the authors used different fruits for the 181 treatment and control, we categorized it as unhomogenized. We fitted four mixed effects 182

models, where the fixed variables were germination conditions, bat species, plant species, and seed origin, while the study author was a random variable. Similarly to the first metaanalysis we used the Cochran's Q_{between} index as a measure of heterogeneity (Viechtbauer, 2010). We did not perform a publication bias test in this meta-analysis, because it was done with the data set of the first meta-analysis.

In the last meta-analysis, we probed the effect of bat diet preferences (core-plant 188 taxa hypothesis) on the germination success of ingested seeds. We used the raw 189 proportions of germinated seeds consumed by bats and their associated variances as 190 effect sizes. We included studies that did not report the seed germination success of the 191 control seeds, because we only compared the germination success among the bat and 192 plant genera. We fitted a nested mixed effects model and used the Cochran's Q_{between} 193 194 index as a measure of heterogeneity of the meta-analysis. Our nested fixed effects were the bat-plant genera and our random effect was the author. The publication bias of this 195 data set was evaluated by a regression test as above (Egger et al. 1997). 196

Finally, to answer if the ingestion of seeds by bats accelerated the first day of 197 germination, we used a generalized linear model (GLM) with a post hoc x2 analysis for 198 standardized coefficients and a Poisson distribution of error. The GLM model formulation 199 was: first germination day~treatment (human depulped and bat gut depulped), 200 error=poisson. The plant life form was obtained from different databases as Tropicos 201 (http://www.tropicos.org), and published papers of the ecology of plants (Appendix S2). We 202 only used data of plants species with life form growth data and more than four 203 observations. All analyses were performed using the "escalc", "regtest" and "rma.mv" 204 205 functions of the "metafor" package and "glm" function of the "stats" package for R language version 3.2.0 (Viechtbauer, 2010, R Core Team 2015). 206

207

208 **Results**

In the global meta-analysis, which did not take into account any moderator variable, we did not find a significant effect of bat seed ingestion on germination success (logOR=0.03, C.I.=-0.34-0.41). However, the heterogeneity of our data set was significant (*Q*=1834.87, df=104, *P*<0.0001), suggesting that some variables related with the germination experiments may be important. On the other hand, we did not find a publication bias in our data set (*t*= 0.59, df= 103, *P*= 0.55).

We found that only bat species and plant species had significant effects on germination success (Table 1). When we analyzed which plant and bat species had logOR values different from zero, we found only 10 plant species that increased their germination success after their seeds passed through bats' guts, two species showed decreased germination success (Table 2) and 49 species that showed no effect. While for bats two species increased the germination success of their consumed seeds, three decreased germination success and 18 showed no effect (Table 2).

222

Table 1. Significance table of the moderator variables evaluated. Only plant and bat species explain the heterogeneity of the logOR of seed germination.

Moderator	d.f.	Q _{between}	<i>P</i> value
Plant species	52	1231494.05	0.0001
Bat species	22	60.27	0.0001
Germination condition	5	1.83	0.87
Seed origin	3	1.04	0.79

225

Table 2. List of plant species that increased (positive logOR values) or decreased

227 (negative logOR values) the germination success after their seeds' passage through bat 228 guts. In the second section of the table is the list of bat species that increased or 229 decreased the germination success of plants, after the seed consumption. logOR is the 230 point estimate of the log odds ratio of the difference between seeds ingested by bats and 231 control seeds. C.I. is the 95% confidence interval, and *P* represents the p-value of a test of

- logOR against 0, while *k* is the number of germination experiments for each plant and bat
- 233 species.

Plant species	logOR	Р	C.I.	k	growth life form
Cecropia peltata	2.37	0.0005	1.03-3.71	1	tree
Ficus grevei	3.90	<0.0001	2.71-5.1	1	tree
Ficus guaranitica	1.2	0.005	0.35-2.06	2	tree
Ficus lutea	4.04	<0.0001	2.82-5.26	1	tree
Morus macroura	-4.35	<0.0001	-5.69-[-3.02]	2	small tree
Piper aduncum	0.81	0.024	0.11-1.53	6	shrub
Piper amalago	1.46	0.004	0.44-2.47	4	shrub
Piper hispidinervum	1.58	<0.0001	0.78-2.37	4	shrub
Solanum aphydendron	1.87	0.008	0.47-3.27	3	shrub
Solanum hazenii	1.79	0.01	0.30-3.29	1	shrub
Solanum mauritianum	0.92	0.02	0.14-1.71	4	shrub
Stenocereus dumortieri	-2.05	0.0002	-3.14-[-0.95]	1	cacti
Bat species	logOR	Р	C.I.	k	Family
Cynopterus sphinx	-4.33	0.0001	-6.37-[-2.28]	1	Pteropodidae
Leptonycteris		\mathbf{P}			
yerbabuenae	-1.31	0.032	-2.55-[-0.11]	2	Phyllostomidae
Pteropus rufus	1.81	0.036	0.11-3.52	3	Pteropodidae
Rousettus leschenaulti	-4.38	0.0001	-6.43-[-2.34]	1	Pteropodidae
Sturnira lilium	0.80	0.029	0.08-1.52	9	Phyllostomidae

We found significant heterogeneity of raw percentages of seed germination 235 (Q_{between}=429.96, df=9, P<0.0001), and we found an effect of bat dietary preference (core 236 plant taxa hypothesis) on seed germination success (Fig. 1). Specifically, we found that 237 Artibeus bat species increased the germination of Cecropia seeds, compared with Carollia 238 bat species. Moreover, Carollia bat species increased the germination of Piper seeds, 239 compared with Cecropia seeds and Sturnira bat species presented a similar germination 240 success of Piper seeds compared with Carollia bats (Fig. 1). We did not find a publication 241 bias in these data set (t= -1.57, df= 73, P= 0.12). Finally, we did not find an effect of bat 242 seed consumption on the first germination day of shrubs (X^2 = 0.41, df=10, P= 0.51; Fig. 243 2A) and trees (X²= 1.01, df=28, P= 0.31; Fig. 2B). 244

245

246 **Discussion**

247 We did not find support for the hypothesis that frugivorous bat passage homogeneously increases the germination success of consumed seeds compared to seeds depulped by 248 hand, as proposed by Traveset and Verdú (2002). In contrast to our first prediction, we 249 250 found no effects of germination conditions and seed origin on seed germination success. According to our second prediction, we found that germination varied among plant species 251 and that bat passage had heterogeneous effects on germination, depending on the 252 species of bat. The core plant taxa hypothesis was also supported as bat dietary 253 preferences explained variation in seed germination as well. Finally, according to our 254 predictions, we found no significant effect of bat seed ingestion on germination speed. In 255 the following sections, we discuss the implication of these results for the seed dispersal 256 processes mediated by frugivorous bats. 257

258

259 Does the consumption of fruit by bats enhance seed germination?

The hypothesis that seed passage through bats' guts should increase germination success homogeneously was not verified. These results do not support the pattern observed in the previous meta-analysis (Traveset & Verdú, 2002). This opposite result, compared with our study, could be due to the increase of observations in our study. Our data set was composed of 33 studies that represented 107 germination experiments, with 23 bat and 61 plant species, while the meta-analysis of Traveset & Verdú (2002) contain 19 experiments and 5 bat and 21 plant species.

The increase of observations among meta-analyses can change the results (Comita et al. 2014, Hyatt et al. 2003); therefore, the inclusion of more bat and plant species had an important effect in the overall effect of seed germination success of fruit consumed by bats. This result implies that, in general, the principal service frugivorous bats may offer to plants is the seed movement. This is corroborated by the way bats exhibit good seed handling (Dumont 1999).

274 Moderator variables that enhance seed germination

The variables of experimental design that had no effect were germination conditions and 275 276 seed origin. The absence of the effect of seed germination condition could be related to the observation that the majority of plants consumed by bats are present in tropical forests 277 (Muscarella & Fleming 2007, Lobova 2009). These plants had a high germination capacity, 278 compared with plants of temperate forests (Traveset 1998). This may result in high 279 germination success independent of germination condition and seed origin. This result 280 differs from previous reports that showed that seed origin and seed germination condition 281 have an effect on seed germination (Baloch et al. 2001, Traveset & Verdú 2002, Cruz et 282 al. 2003). Specifically, the seed experiments performed in greenhouses are buffered 283 against climatic conditions, compared to field conditions, resulting in different gemination 284 successes in these places (Traveset & Verdú 2002). 285

Germination success varied between bat species. Curiously, the reduction of seed germination seemed unrelated with the size or taxonomic position of bats (see Table 2). Fruit handling and food transit times of bats species is positively related with their body mass (Bonaccorso & Gush 1987, Laska 1990, Dumont 1999). Therefore, the pattern of negative and positive effects of germination success from bat taxa with different sizes suggests that plant traits could be more important than bat traits in explaining germination of seeds consumed by bats.

The plant species involved in the study (between which seed germination success varied significantly) had wildly different life forms (shrubs, trees, and cacti). The life form of plant species could influence germination success: seeds of trees have higher germination success when passed through vertebrate guts, compared with herbs and shrubs (Traveset & Verdú, 2002), as some tree species seem to require the abrasive effect of gut passage to activate seed germination (Traveset 1998). Therefore, the life form of plants could be

the main factor related to the significance of plant species in germination success. However, this result should be treated cautiously, because only 12 species of 61 plant species had effect sizes different from zero. Finally, this result confirms that the effect of bat fruit consumption on seed germination is idiosyncratic (Lieberman & Lieberman 1986).

303

³⁰⁴ Is seed germination dependent on bat dietary preferences?

Our prediction that seed germination success should be related to dietary preferences of 305 frugivorous bats was verified. This result suggests that plant germination success evolved 306 as bat diet preferences did (Sánchez & Giannini 2018). The main idea of the core-plant 307 taxa hypothesis is that Carollia, Sturnira, and Artibeus bat species select their fruit due 308 their size, vertical position in the forest and nutrient availability (Fleming 1986). This 309 310 hypothesis has been evaluated from an ecomorphological and ecophysiological perspective. Carollia and Sturnira bat species have been found to be limited and deal with 311 diets of low sugar content and bigger fruit size and hardness compared with Artibeus 312 species (Dumont 2003, Saldaña-Vázquez 2014). 313

Therefore, we hypothesize that ecomorphological and ecophysiological traits of 314 Artibeus, Carollia and Sturnira frugivorous bat species had an effect on the germination 315 success of their preferred consumed plants. This is especially applicable for Cecropia 316 seeds, where small bats such as Carollia reduce the germination success compared with 317 big bats like Artibeus. This could be due to the differences in fruit handling and food transit 318 times between these bats species and the Cecropia morphology (Bonaccorso & Gush 319 1987, Laska 1990, Dumont 1999). Cecropia fruits have a mucilaginous pericarp, and if the 320 321 pericarp is not removed, the seed survival and subsequent germination is reduced (Lobova et al. 2003). Therefore, the higher Cecropia germination success of seed 322 consumed by Artibeus species could be related with their long transit time and gut of 323 Artibeus compared to Carollia bats. 324

Another interesting result is that both *Sturnira* and *Carollia* bat species had similar seed germination success of *Piper* species. Dietary studies about *Sturnira* in montane forests have shown that *Sturnira* consume *Piper* fruits in the same magnitude as their supposedly preferred *Solanum* fruits (Hernández-Montero et al. 2015, Castaño et al. 2018). These results suggest that in montane forests where *Carollia* species abundance decreases, *Sturnira* species provide compensatory seed dispersal services to *Piper* plants.

331

332 Seed germination velocity is independent of bat seed consumption

Our last prediction was that seed consumption by bats does not increase germination velocity. This prediction was fulfilled because there was no significant decrease in the day of first germination day of seed consumed by bats. This result is due to plant seeds experiencing similar seed depulpation in bat guts, compared with depulpation by human hands (controls). In addition, this result shows the value of frugivorous bats for plant seed dispersal, as they do not kill the seeds by consuming them

339

340 Conclusion and future research avenues

From the most recent evidence we can conclude that, in general, frugivorous bats do not 341 homogeneously improve the seed germination of plants, compared to seeds depulped by 342 hand (control). Seed germination success is idiosyncratic with respect to bat and plant 343 species. New research on the effect of bat ingestion on seed germination should explore 344 the effect of the chemical consequence of the vertebrate gut on germination via alteration 345 of the seed coat or endocarp. Considering fruit pulp with undigested seeds opens 346 347 considerable possibilities for multifaceted interactions between fruits, fungi, seed predators and seed dispersers (Tewksbury 2002, Levey et al. 2007). We have observed rapid fungal 348 attacks on ripe *Piper* fruits that fall to the ground instead of being dispersed by bats. 349 Morphologically similar fungal attacks were present even in seeds that had been washed 350

from fruit pulp (Baldwin and Whitehead, unpublished data). It is unknown if the presence of fruit pulp facilitates fungal attack. Another possible investigation topic is the effect of bat fruit consumption on the viability of seeds. Finally, the majority of the studies we reviewed come from the Neotropics, and have not evaluated the viability of seeds that failed to germinate.

356

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455 Figure legends

456

- Figure 1. Germination percentage (mean and 95% confidence intervals) of seeds from
- 458 plants consumed by the common Neotropical bat genera. Plant genera in bold are the core
- 459 plant taxa in bat diet (*sensu* Fleming 1986). Letters a and b remark germination
- ⁴⁶⁰ percentage significantly different among bat and plant genera. This meta-analysis was
- ⁴⁶¹ performed only with data of seeds ingested by bats (raw proportion), because we only
- 462 compared the germination success among the bat and plant genera.

463

- Figure 2. Density plot of the percentage of first germination day of seeds from the control
- and treatment. A correspond to seed of shrubs and B to trees.

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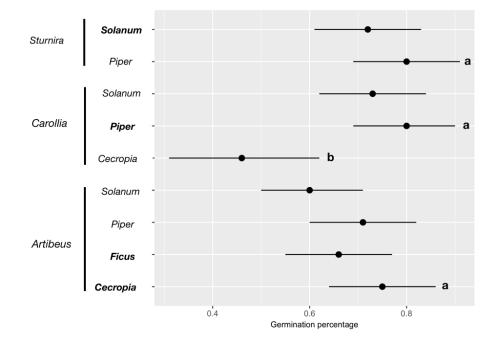


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361x270mm (72 x 72 DPI)

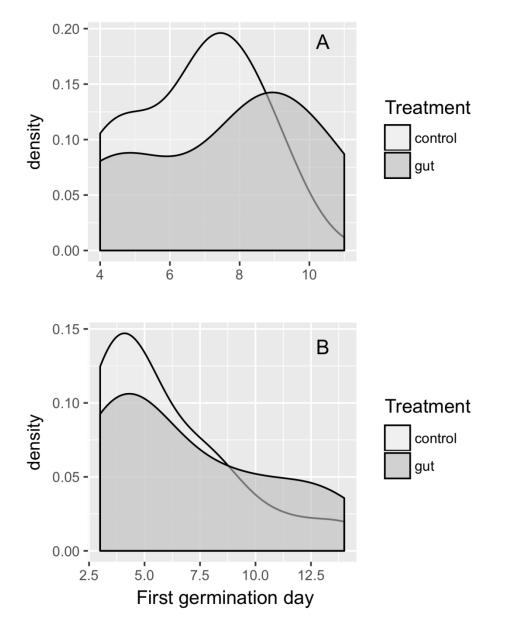


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